

An Introduction to Spectral Graph Theory

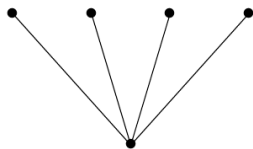
Sam Spiro, UC San Diego.

The Adjacency Matrix

Given a graph G , we define its adjacency matrix $A_G = A$ with rows and columns indexed by $V(G)$ by $A_{uv} = 1$ if $uv \in E(G)$ and $A_{uv} = 0$ otherwise.

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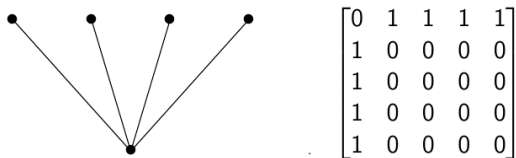
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$$\begin{bmatrix} 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{bmatrix}$$

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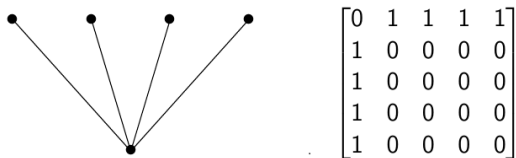
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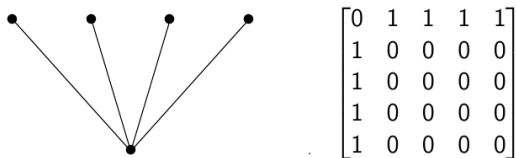
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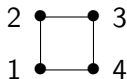
A priori, A is just a table of numbers representing G , and in particular there's no reason to expect that its structure as a linear operator encodes anything about G . Remarkably this is not the case! Because A is a real symmetric matrix, it has real eigenvalues $\mu_1 \geq \dots \geq \mu_n$.

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A walk of length k in G is a sequence of (not necessarily distinct) vertices x_1, \dots, x_{k+1} such that $x_i \sim x_{i+1}$ for all $1 \leq i \leq k$. A walk is said to be closed if $x_{k+1} = x_1$.

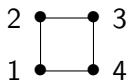
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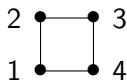


Lemma

The number of walks of length k from u to v is A_{uv}^k .

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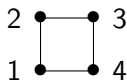
By definition of matrix multiplication, we have

$$A_{uv}^k = \sum A_{uw_1} \cdots A_{w_{k-1}v},$$

where the sum ranges over all sequences w_1, \dots, w_{k-1} .

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where the sum ranges over all sequences w_1, \dots, w_{k-1} . The term will be 1 if this sequence defines a walk and will be 0 otherwise.

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$$(Ay)_u = \sum_{v \sim u} y_v$$

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Thus $Ay = -\mu y$.

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$$(Ay)_u = \sum_{v \sim u} y_v = - \sum_{v \sim u} x_v = -(Ax)_u = -\mu x_u = -\mu y_u.$$

Thus $Ay = -\mu y$. Further, k linearly independent eigenvectors of μ correspond to k linearly independent eigenvectors of $-\mu$, so the spectrum of A is symmetric about 0.

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A graph G is bipartite iff $\sigma(A)$ is symmetric about 0.

Conversely, if the spectrum of A is symmetric about 0, then $\sum \mu_i^k = 0$ for all odd k .

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Conversely, if the spectrum of A is symmetric about 0, then $\sum \mu_i^k = 0$ for all odd k . Thus G has no closed walks of odd length. In particular, G contains no odd cycles, which is equivalent to being bipartite. \square

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Theorem (Hoffman; Wilf)

$$1 - \frac{\mu_1}{\mu_n} \leq \chi(G) \leq \mu_1 + 1.$$

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Theorem (Hoffman)

If G is d -regular then $\alpha(G) \leq \frac{-\mu_n}{d-\mu_n} \cdot n$.

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If G has maximum degree Δ and average degree \bar{d} . Then

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This gives $|\mu_1| \leq \Delta$, which in particular implies the result. \square

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Let G be a graph and M a Hermitian matrix such that whenever $u \sim v$ we have $|M_{uv}| = 1$ and $M_{uv} = 0$ otherwise. Then

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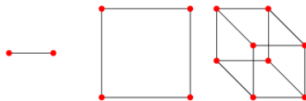
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This is not just generalization for generalization's sake! This is one of the key observations in Hao Huang's recent proof of the sensitivity conjecture.

The Sensitivity Conjecture

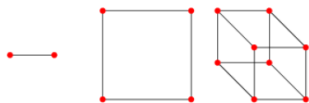
Let Q_n be the n -dimensional hypercube.



Picture from Wolfram MathWorld

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More precisely it's the graph whose adjacency matrix can be defined recursively as $A_0 = [0]$ and

$$A_n = \begin{bmatrix} A_{n-1} & I \\ I & A_{n-1} \end{bmatrix}.$$

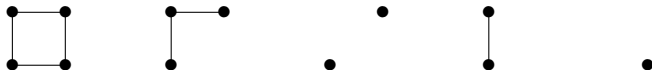
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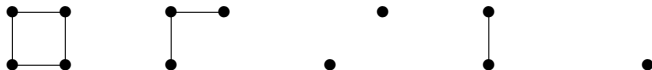
For example, the following are all the induced subgraphs of Q_2 up to isomorphism.



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For example, the following are all the induced subgraphs of Q_2 up to isomorphism.



Theorem (Chung, Füredi, Graham, Seymour 1988)

If H is an induced subgraph of Q_n on $2^{n-1} + 1$ vertices, then H has maximum degree at least $\frac{1}{2} \log_2(n)$.

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Theorem (Huang 2019)

If H is an induced subgraph of Q_n on $2^{n-1} + 1$ vertices, then it has maximum degree at least \sqrt{n} .

The Sensitivity Conjecture

Define the “twisted adjacency matrix” of Q_n by $B_0 = [0]$ and

$$B_n = \begin{bmatrix} B_{n-1} & I \\ I & -B_{n-1} \end{bmatrix}.$$

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The Sensitivity Conjecture

Lemma (Cauchy Interlacing Theorem)

Let B be a real symmetric $n \times n$ matrix and C an $m \times m$ principal submatrix of B with $m \leq n$. If B has eigenvalues $\lambda_1 \geq \dots \geq \lambda_n$ and C has eigenvalues $\mu_1 \geq \dots \geq \mu_m$, then

$$\lambda_i \geq \mu_i \geq \lambda_{i+n-m}.$$

The Sensitivity Conjecture

Let $V \subseteq V(Q_n)$ be a set of $2^{n-1} + 1$ vertices, and let C be the principal submatrix of B_n obtained by taking the rows and columns corresponding to V .

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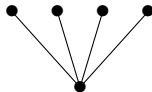


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While the eigenvalues of A can tell us a lot about our graph G , it has its limitations.

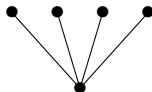
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Corollary

From the eigenvalues of A it is impossible to determine if G is connected, contains a C_4 , etc.

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For this to actually be useful, it is crucial that the matrix (and its eigenvalues) are reasonable to compute. E.g. the following is not a very useful matrix

$$X_G = \chi(G) \cdot I.$$

The Laplacian

Let D be the diagonal matrix of degrees of G , i.e. $D_{uu} = d_u$.

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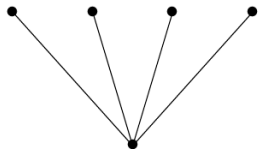
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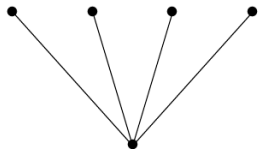


$$\begin{bmatrix} 4 & -1 & -1 & -1 & -1 \\ -1 & 1 & 0 & 0 & 0 \\ -1 & 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 1 & 0 \\ -1 & 0 & 0 & 0 & 1 \end{bmatrix}$$

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Note that L arises as a boundary-coboundary operator, as well as a chip firing operator in the Abelian sandpile model.

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Corollary (Cayley's Formula)

The number of labeled trees on n vertices is n^{n-2} .

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Theorem (Godsil, Newman)

Let S be an independent set in G . If $\bar{d}(S)$ is the average degree of the vertices in S , then

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More generally, for regular graphs it is often the case that many choices of M will solve the problem (with the “correct M ” generalizing to non-regular graphs).

The Normalized Laplacian

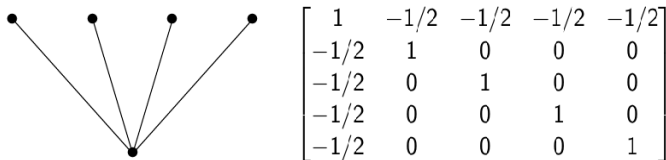
Let D be the diagonal matrix of degrees of G . For G a graph without isolated vertices, define the normalized Laplacian matrix

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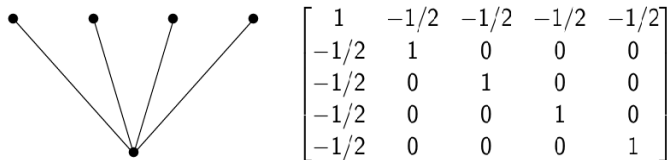
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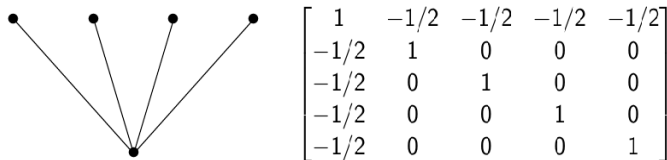


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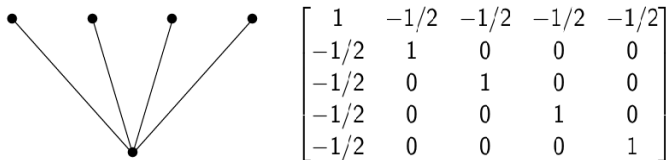


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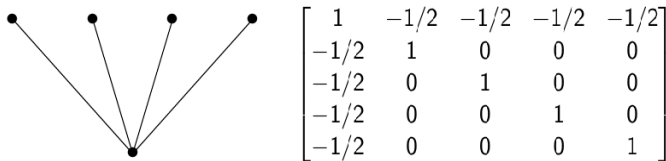


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$AD^{-1} \sim D^{-1/2}AD^{-1/2}$. Thus the eigenvalues of \mathcal{L} control how quickly random walks converge (and this exact formulation also gives it a nice Rayleigh quotient to work with).

How'd This Go?

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Link also on my website.

Thank You!